

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Heiks, et al.

Application No. 09/852,709

Attorney Docket No. Shipley 03-10

Filed:

May 11, 2001

For:

**OPTICAL SWITCH ASSEMBLY** 

AND METHOD FOR MAKING

Examiner: Michael J. Stahl

Group Art Unit: 2874

**CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)** 

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, Alexandria, VA 22313-1450

**Commissioner for Patents** Alexandria, VA 22313-1450

> INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56,

Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

Applicants respectfully request full and proper consideration of the listed

information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN A Professional Corporation Attorneys for Applicant(s)

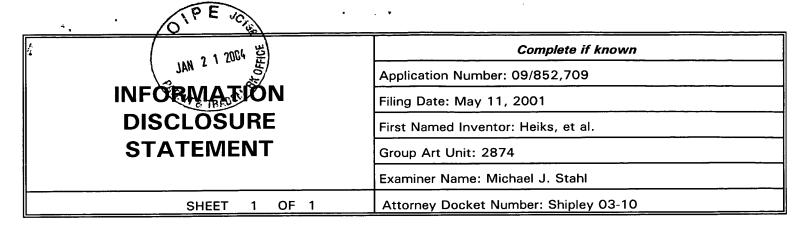
Niels Haun

PTO Régistration No. 48,488

Telephone: (215) 563-4100 Facsimile: (215) 563-4044 Enclosures - Form PTO-1449

1.

Copies of references listed on PTO - 1449



UNITED STATES PATENT DOCUMENTS								
EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR				

FOREIGN PATENT DOCUMENTS								
EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT			
		JP1999305151A	Japan	11/05/1999	lwashima, Tetsu			

OTHER PRIOR ART - NON-PATENT DOCUMENTS						
EXAMINER'S CITE Include name of the author (in 0 journal, serial, symposium, cata published		Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				

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